

# Fine-Grained Named Entity Recognition

2021. 05. 21

발표자: 조 경 선



# 발표자 소개



## ❖ 이름: 조경선

- 고려대학교 산업경영공학과 석사과정(2020.09~)
- 데이터마이닝 및 품질애널리틱스 연구실(김성범 교수님)

## ❖ 관심연구분야

- Machine Learning & Deep Learning
- Natural Language Processing

## ❖ 연락처

- abc0323@korea.ac.kr

# 목차

- ❖ Introduction
- ❖ Deep Learning Techniques for NER
- ❖ Challenges for NER
- ❖ Fine-grained NER in Domain-specific Area
- ❖ Informal Text with Auxiliary Resource
- ❖ Conclusion

# Introduction

## ❖ Named Entity Recognition(NER) 이란?

- 이름을 가진 개체(named entity)를 인식하겠다는 것
- 사람, 기관, 장소, 의학 코드, 시간 표현, 양, 금전적 가치, 퍼센트 등 미리 정의된 분류로 텍스트의 개체명을 분류
- 다양한 Application에서 굉장히 중요한 Pre-processing 과정
  - ✓ Semantic Search, Question Answering, Machine Translation 등

Investigation after his disparaging texts about President Trump PERSON were uncovered, was fired. Credit T.J. Kirkpatrick PERSON for The N  
nesBy Adam Goldman ORG and Michael S. SchmidtAug PERSON . 13 CARDINAL , 2018WASHINGTON CARDINAL — Peter Strzok  
PERSON , the F.B.I. GPE senior counterintelligence agent who disparaged President Trump PERSON in inflammatory text messages and he  
ersee the Hillary Clinton PERSON email and Russia GPE investigations, has been fired for violating bureau policies, Mr. Strzok PERSON  
d Monday DATE .Mr. Trump and his allies seized on the texts — exchanged during the 2016 DATE campaign with a former F.B.I. GPE  
Lisa Page — in PERSON assailing the Russia GPE investigation as an illegitimate "witch hunt." Mr. Strzok PERSON , who rose over 20 y  
ATE at the F.B.I. GPE to become one of its most experienced counterintelligence agents, was a key figure in the early months DATE of the  
quiry. Along with writing the texts, Mr. Strzok PERSON was accused of sending a highly sensitive search warrant to his personal email account. T

# Introduction

Michal Jeffrey Jordan was born in Brooklyn, New York.



Named Entity Recognition



Michal Jeffrey Jordan - Person  
Brooklyn - Location  
New York - Location

# Introduction

## ❖ Named Entity Recognition(NER) 이 중요한 이유

곤대의 6하 원칙	
Who  내가누군 줄 알아?	What  네가 뭘 안다고?
Where  어딜 감히?	When  나 때는 말이야
How  어떻게 그걸 나한테?	Why  내가 그걸 왜?

- 주요 정보를 추출하여 텍스트의 내용을 이해하거나 데이터베이스에 저장할 중요한 정보를 수집
- 대규모 데이터 세트를 처리해야하는 경우, 비정형 데이터를 정렬하고 중요한 정보를 감지하는 데 도움

# Introduction

## ❖ Named Entity Recognition(NER) 의 Use case

- [CASE1] 고객 지원 상담 및 피드백



- 고객 상담을 처리하는 경우 NER 기술을 사용하여 고객 요청을 더 빠르게 처리
  - ✓ 예) 제품 이름이나 일련 번호와 같은 관련 데이터 추출 → 해당 문제를 처리하는 데 가장 적합한 상담원이나 팀 배정
- 모든 고객 피드백을 구성하고 반복되는 문제 추출
  - ✓ 예) 부정적인 고객 피드백에서 가장 자주 언급되는 점을 감지 → 해당 문제를 처리하는 데 집중

# Introduction

## ❖ Named Entity Recognition(NER) 의 Use case

- [CASE2] 추천 시스템



- 뉴스 게시자는 유사한 기사를 사용자에게 추천
  - ✓ 예) 특정 기사에 엔티티 추출 → 엔티티가 일치하는 다른 기사 그룹화
- 콘텐츠 검색 기록을 기반으로 사용자에게 제안
  - ✓ 예) Netflix에서 코미디를 많이 시청하면 코미디 엔티티로 분류된 더 많은 콘텐츠 추천

# Introduction

## ❖ Named Entity Recognition(NER) 평가지표

- **Precision**(정밀도) =  $\frac{TP}{TP+FP}$ 
  - ✓ 특정 Entity 라고 예측한 경우 중에서 실제 특정 Entity 로 판명되어 예측이 일치한 비율
- **Recall**(재현률) =  $\frac{TP}{TP+FN}$ 
  - ✓ 전체 특정 Entity 중에서 실제 특정 Entity 라고 정답을 맞춘 비율
- **F - score** =  $\frac{Precision \times Recall}{Precision+Recall}$ 
  - ✓ 정밀도와 재현률로부터 조화 평균(harmonic mean)을 구한 것

		Predicted Class		
		Positive	Negative	
Actual Class	Positive	True Positive (TP)	False Negative (FN) <b>Type II Error</b>	<b>Sensitivity</b> $\frac{TP}{TP + FN}$
	Negative	False Positive (FP) <b>Type I Error</b>	True Negative (TN)	<b>Specificity</b> $\frac{TN}{TN + FP}$
		<b>Precision</b> $\frac{TP}{TP + FP}$	<b>Negative Predictive Value</b> $\frac{TN}{TN + FN}$	<b>Accuracy</b> $\frac{TP + TN}{TP + TN + FP + FN}$

- True Positive (TP): NER에 의해 인식되고 실제와 일치하는 Entity.
- False Positive (FP) : NER에 의해 인식되었지만 실제와 일치하지 않는 Entity.
- False Negative (FN): 참인 Entity이지만 NER에서 인식하지 못하는 Entity.

# Deep Learning Techniques for NER

## ❖ The taxonomy of DL-based NER

### 1. Distributed Representations for Input

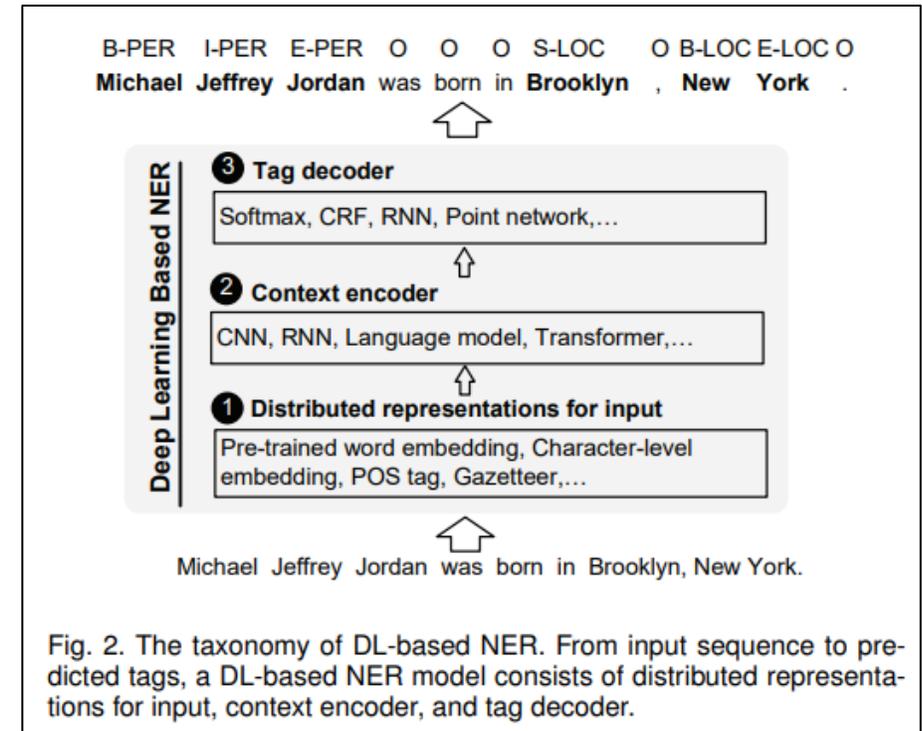
- ✓ 단어 및 문자 임베딩
- ✓ POS tag 및 색인과 같은 추가 정보 통합

### 2. Context Encoder Architectures

- ✓ CNN, RNN 또는 기타 네트워크를 사용

### 3. Tag Decoder Architectures

- ✓ 입력 시퀀스의 토큰에 대한 태그를 예측
- ✓ 예) B-(begin), I-(inside), E-(end), S-(singleton), O-(outside)



# Deep Learning Techniques for NER

## ❖ BIO Tag

- NER 과 같은 Information Extraction 작업에 자주 이용되는 Tag Set
- 하나의 개체명이 여러개의 형태소로 이루어져 있을 경우 유용함
- BIO 의미
  - ✓ B: Begin의 약자로 개체명이 시작되는 부분
  - ✓ I: Inside의 약자로 개체명의 내부 부분
  - ✓ O: Outside의 약자로 개체명이 아닌 부분
  - ✓ (참고)E(L): END(LAST) 의 약자로 개체명의 끝 부분
  - ✓ (참고) S: 단독 개체명

Michal Jeffrey Jordan was born in Brooklyn, New York.

Named Entity Recognition

Entity	BIO Tag
Michal	B-Person
Jeffrey	I-Person
Jordan	I-Person
was	O
born	O
in	O
Brooklyn	B-Location
New	B-Location
York	I-Location

# Deep Learning Techniques for NER

## ❖ Distributed Representations for Input

### 1. Word-level Representation

- ✓ pre-trained 된 word 임베딩: Google Word2Vec, Stanford GloVe, Facebook fastText 등
- ✓ 사전 학습 된 word 임베딩을 고정하거나 NER 모델 학습 중에 fine-tuning

### 2. Character-level Representation

- ✓ prefix 및 suffix와 같은 명시적인 하위 단어 수준 정보를 이용하는 데 유용
- ✓ out-of-vocabulary 처리 → 보이지 않는 단어에 대한 표현을 추론하고 형태소 수준의 규칙성 정보를 공유
- ✓ CNN, RNN 등

### 3. Hybrid Representation

- ✓ 추가 정보 (예 : 색인, 어휘 유사성, 시각적 특징 등) 통합
- ✓ NER 성능 향상

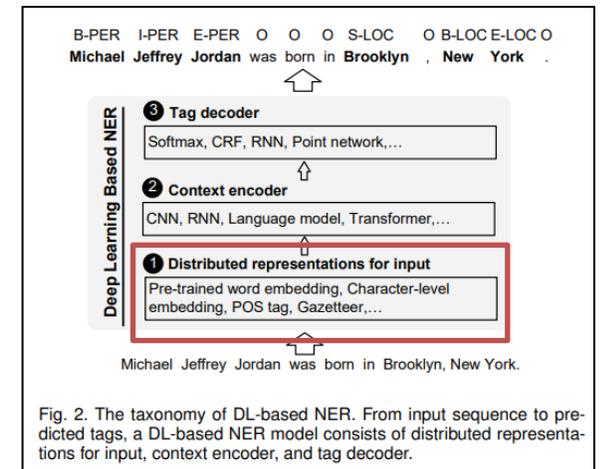


Fig. 2. The taxonomy of DL-based NER. From input sequence to predicted tags, a DL-based NER model consists of distributed representations for input, context encoder, and tag decoder.

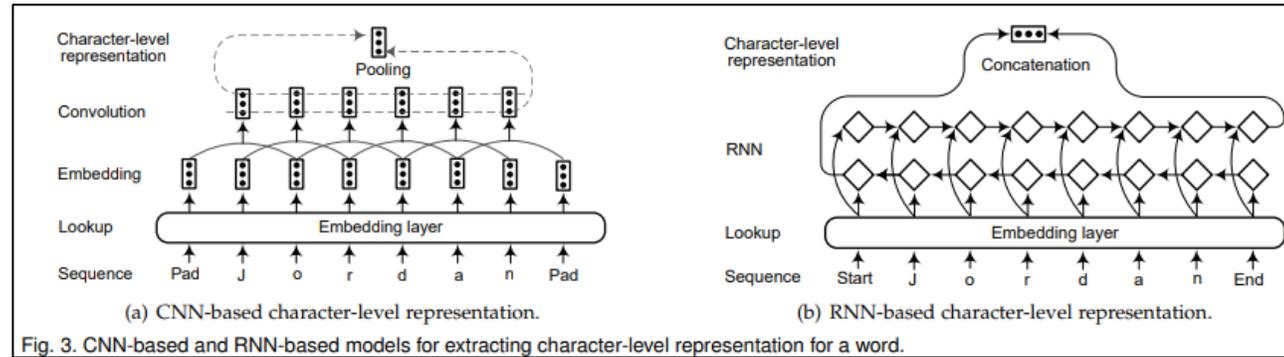


Fig. 3. CNN-based and RNN-based models for extracting character-level representation for a word.

# Deep Learning Techniques for NER

## ❖ Context Encoder Architectures

### 1. Convolutional Neural Networks

### 2. Recurrent Neural Networks

✓ GRU(gated recurrent unit), LSTM(long-short term memory), bidirectional RNN과 같은 변형으로 성과 ↑

### 3. Recursive Neural Networks

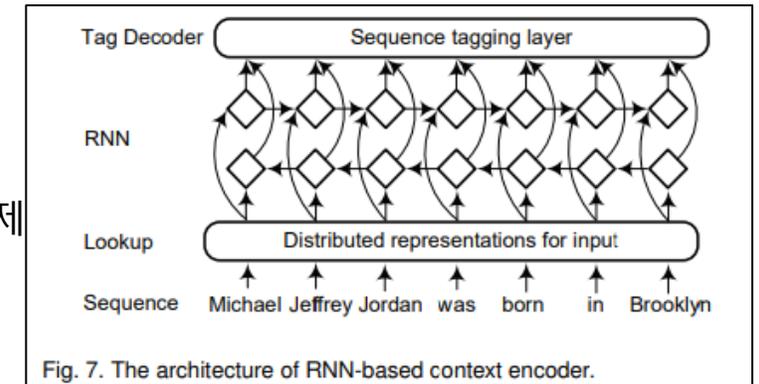
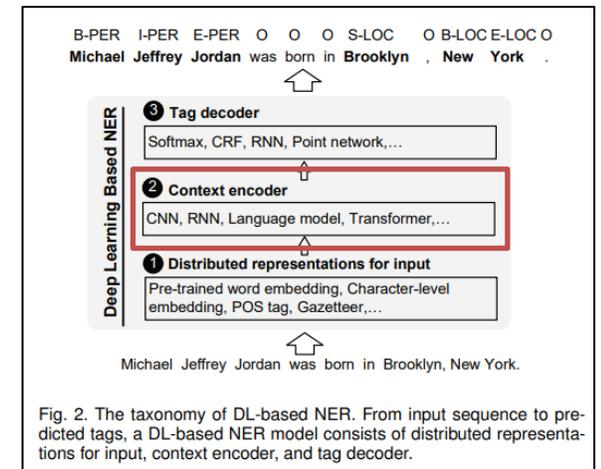
### 4. Deep Transformer

✓ 일반적으로 인코더 및 디코더에 사용되는 convolutional or recurrent networks 를 제

✓ self-attention, pointwise, fully connected layers을 활용하여

인코더 및 디코더를 위한 기본 블록을 구축

✓ 품질이 우수하면서도 훈련하는 데 훨씬 적은 시간이 소요됨



# Deep Learning Techniques for NER

## ❖ Tag Decoder Architectures

### 1. Multi-Layer Perceptron + Softmax

✓ multi-class classification problem

### 2. Conditional Random Fields

✓ 가장 일반적인 방법

### 3. Recurrent Neural Networks

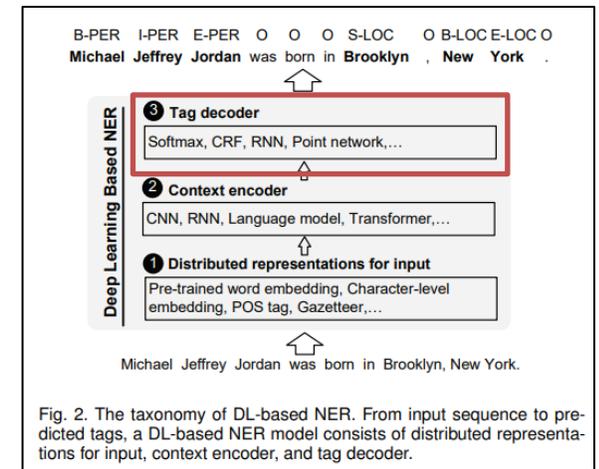
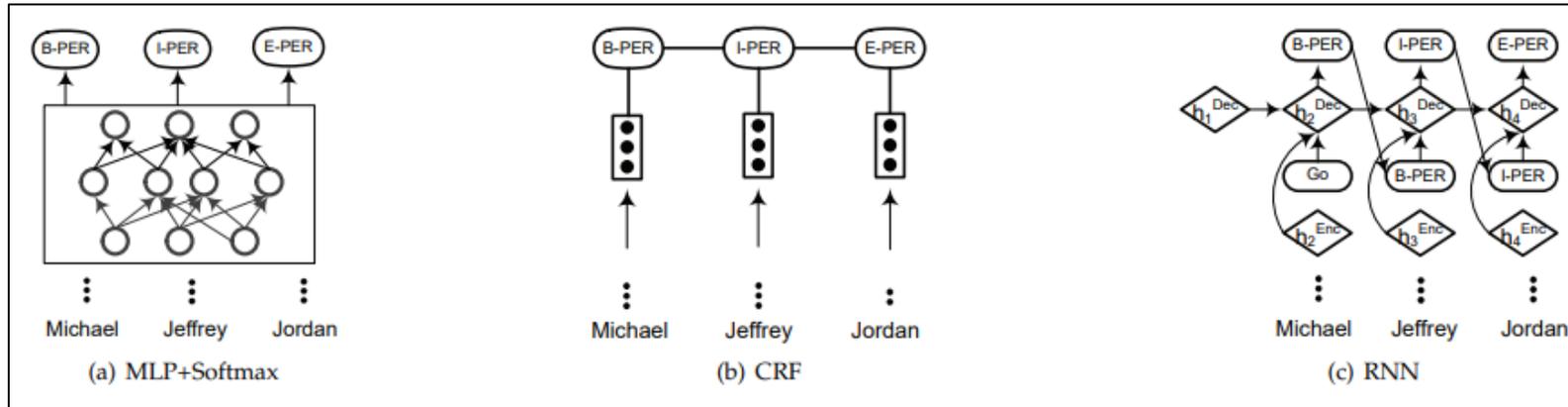
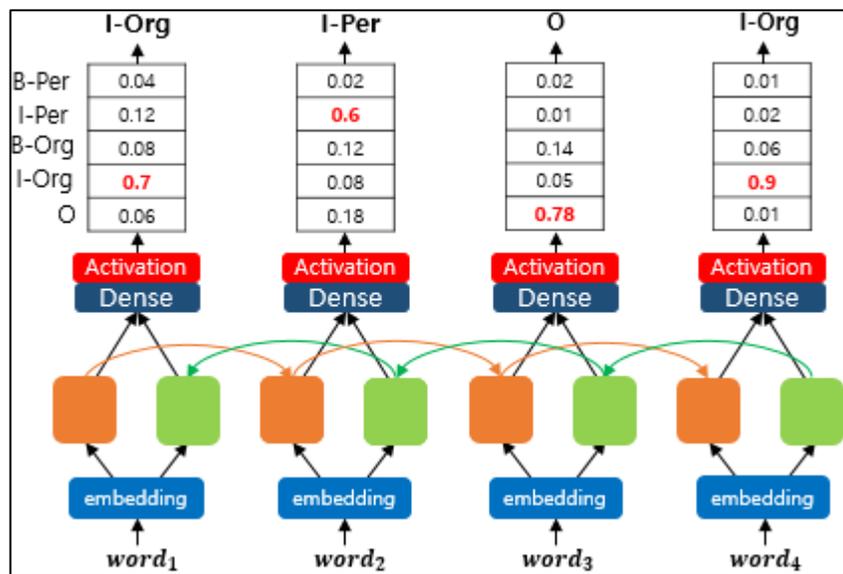


Fig. 2. The taxonomy of DL-based NER. From input sequence to predicted tags, a DL-based NER model consists of distributed representations for input, context encoder, and tag decoder.

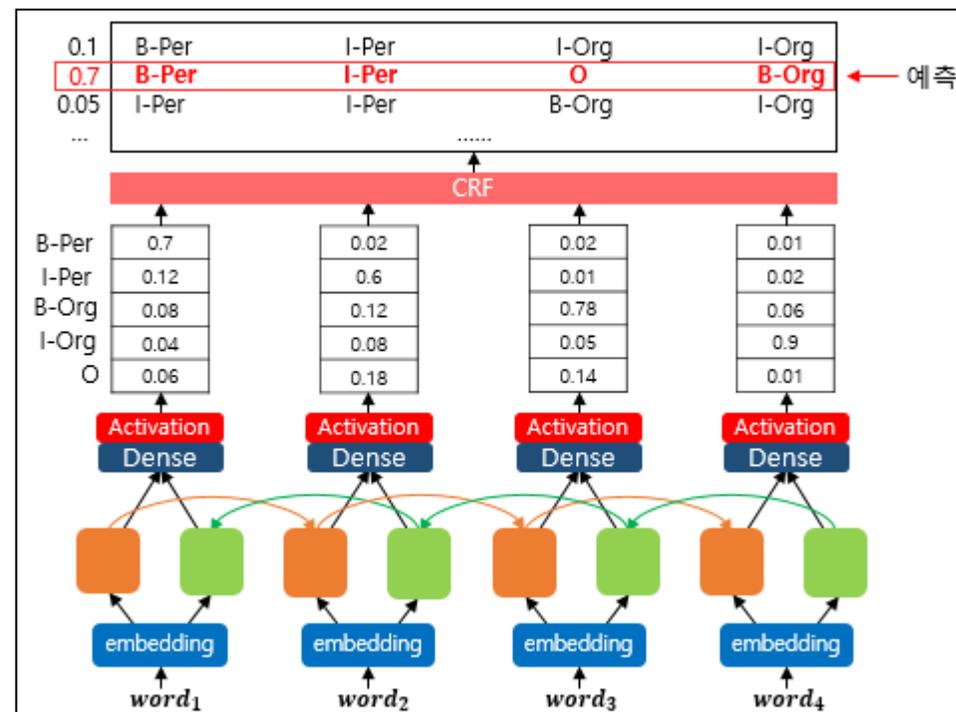
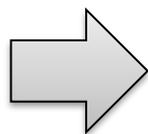
# Deep Learning Techniques for NER

## ❖ Tag Decoder Architectures

- Conditional Random Field (CRF)
  - ✓ BIO Tag에서 일관성 유지
  - ✓ Output Label에 대한 양방향 문맥 반영



[그림1]



[그림2]

출처: <https://wikidocs.net/34136>

# Deep Learning Techniques for NER

TABLE 3  
Summary of recent works on neural NER. LSTM: long short-term memory, CNN: convolutional neural network, GRU: gated recurrent unit, LM: language model, ID-CNN: iterated dilated convolutional neural network, BRNN: bidirectional recursive neural network, MLP: multi-layer perceptron, CRF: conditional random field, Semi-CRF: Semi-markov conditional random field, FOFE: fixed-size ordinally forgetting encoding.

Work	Input representation			Context encoder	Tag decoder	Performance (F-score)
	Character	Word	Hybrid			
[93]	-	Trained on PubMed	POS	CNN	CRF	GENIA: 71.01%
[88]	-	Trained on Gigaword	-	GRU	GRU	ACE 2005: 80.00%
[94]	-	Random	-	LSTM	Pointer Network	ATIS: 96.86%
[89]	-	Trained on NYT	-	LSTM		NYT: 49.50%
[90]	-	SENNA	Word shape	ID-CNN	CRF	CoNLL03: 90.65%; OntoNotes5.0: 86.84%
[95]	-	Google word2vec	-	LSTM	LSTM	CoNLL04: 75.0%
[99]	LSTM	-	-	LSTM	CRF	CoNLL03: 84.52%
[96]	CNN	GloVe	-	LSTM	CRF	CoNLL03: 91.21%
[104]	LSTM	Google word2vec	-	LSTM	CRF	CoNLL03: 84.09%
[19]	LSTM	SENNA	-	LSTM	CRF	CoNLL03: 90.94%
[105]	GRU	SENNA	-	GRU	CRF	CoNLL03: 90.94%
[97]	CNN	GloVe	POS	BRNN	Softmax	OntoNotes5.0: 87.21%
[106]	LSTM-LM	-	-	LSTM	CRF	CoNLL03: 93.09%; OntoNotes5.0: 89.71%
[102]	CNN-LSTM-LM	-	-	LSTM	CRF	CoNLL03: 92.22%
[17]	-	Random	POS	CNN	CRF	CoNLL03: 89.86%
[18]	-	SENNA	Spelling, n-gram, gazetteer	LSTM	CRF	CoNLL03: 90.10%
[20]	CNN	SENNA	capitalization, lexicons	LSTM	CRF	CoNLL03: 91.62%; OntoNotes5.0: 86.34%
[115]	-	-	FOFE	MLP	CRF	CoNLL03: 91.17%
[100]	LSTM	GloVe	-	LSTM	CRF	CoNLL03: 91.07%
[112]	LSTM	GloVe	Syntactic	LSTM	CRF	W-NUT17: 40.42%
[101]	CNN	SENNA	-	LSTM	Reranker	CoNLL03: 91.62%
[113]	CNN	Twitter Word2vec	POS	LSTM	CRF	W-NUT17: 41.86%
[114]	LSTM	GloVe	POS, topics	LSTM	CRF	W-NUT17: 41.81%
[117]	LSTM	GloVe	Images	LSTM	CRF	SnapCaptions: 52.4%
[108]	LSTM	SSKIP	Lexical	LSTM	CRF	CoNLL03: 91.73%; OntoNotes5.0: 87.95%
[118]	-	WordPiece	Segment, position	Transformer	Softmax	CoNLL03: 92.8%
[120]	LSTM	SENNA	-	LSTM	Softmax	CoNLL03: 91.48%
[123]	LSTM	Google Word2vec	-	LSTM	CRF	CoNLL03: 86.26%
[21]	GRU	SENNA	LM	GRU	CRF	CoNLL03: 91.93%
[125]	LSTM	GloVe	-	LSTM	CRF	CoNLL03: 91.71%
[141]	-	SENNA	POS, gazetteers	CNN	Semi-CRF	CoNLL03: 90.87%
[142]	LSTM	GloVe	-	LSTM	Semi-CRF	CoNLL03: 91.38%
[87]	CNN	Trained on Gigaword	-	LSTM	LSTM	CoNLL03: 90.69%; OntoNotes5.0: 86.15%
[109]	-	GloVe	ELMo, dependency	LSTM	CRF	CoNLL03: 92.4%; OntoNotes5.0: 89.88%
[107]	CNN	GloVe	ELMo, gazetteers	LSTM	Semi-CRF	CoNLL03: 92.75%; OntoNotes5.0: 89.94%
[132]	LSTM	GloVe	ELMo, POS	LSTM	Softmax	CoNLL03: 92.28%
[136]	-	-	BERT	-	Softmax	CoNLL03: 93.04%; OntoNotes5.0: 91.11%
[137]	-	-	BERT	-	Softmax +Dice Loss	CoNLL03: 93.33%; <b>OntoNotes5.0: 92.07%</b>
[133]	LSTM	GloVe	BERT, document-level embeddings	LSTM	CRF	CoNLL03: 93.37%; OntoNotes5.0: 90.3%
[134]	CNN	GloVe	BERT, global embeddings	GRU	GRU	CoNLL03: 93.47%
[131]	CNN	-	Cloze-style LM embeddings	LSTM	CRF	<b>CoNLL03: 93.5%</b>
[135]	-	GloVe	Plooled contextual embeddings	RNN	CRF	CoNLL03: 93.47%



# Challenges for NER

## ❖ Challenges

### • Data Annotation

- ✓ 많은 데이터가 필요
- ✓ 시간과 비용의 문제
- ✓ 리소스가 부족한 언어와 특정 도메인의 어려움
- ✓ 언어 모호성 ex) Bank Account(은행 계좌) vs. River Bank(강둑)

### • Noisy in Informal Text

- ✓ 사용자 생성 텍스트 혹은 비형식적 텍스트(댓글, SNS 등) 에 대해서는 낮은 정확도
- ✓ 도메인별 차이



# Fine-grained NER

## ❖ Fine-grained NER in Domain-specific Area

- Fuzzy-LSTM-CRF
- AutoNER

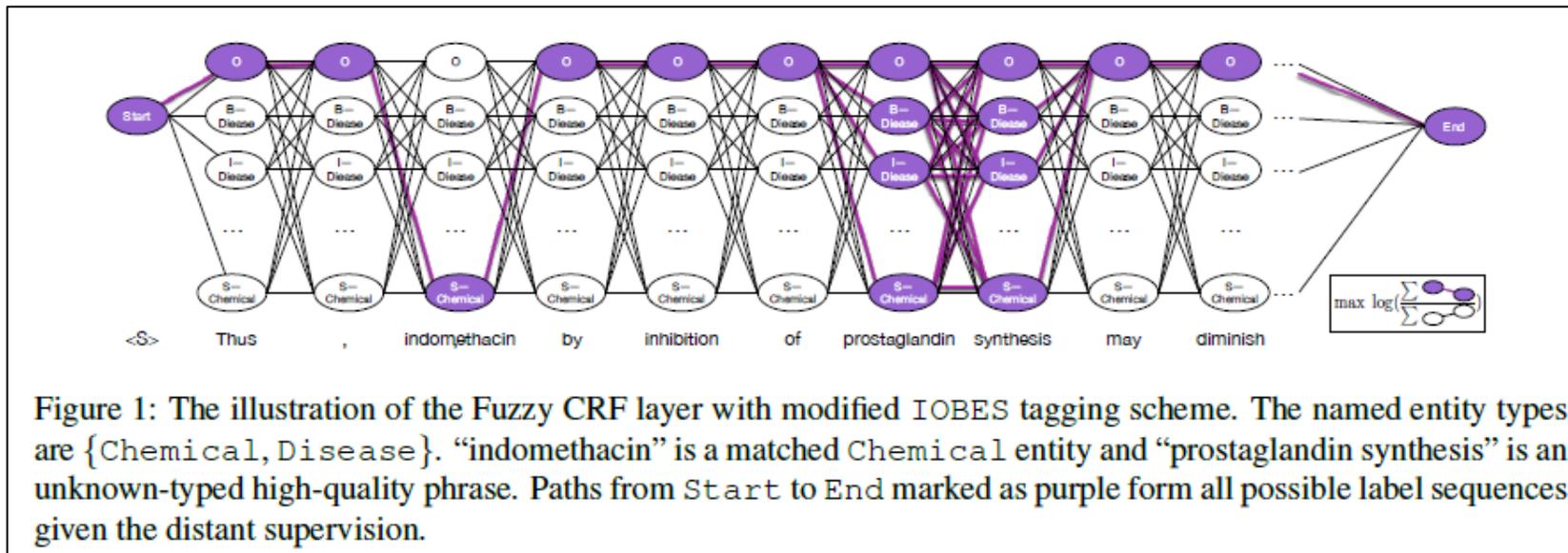
## ❖ Informal Text with Auxiliary Resource

- Gazetteer-enhanced sub-tagger

# Fine-grained NER in Domain-specific Area

## ❖ Fuzzy-LSTM-CRF

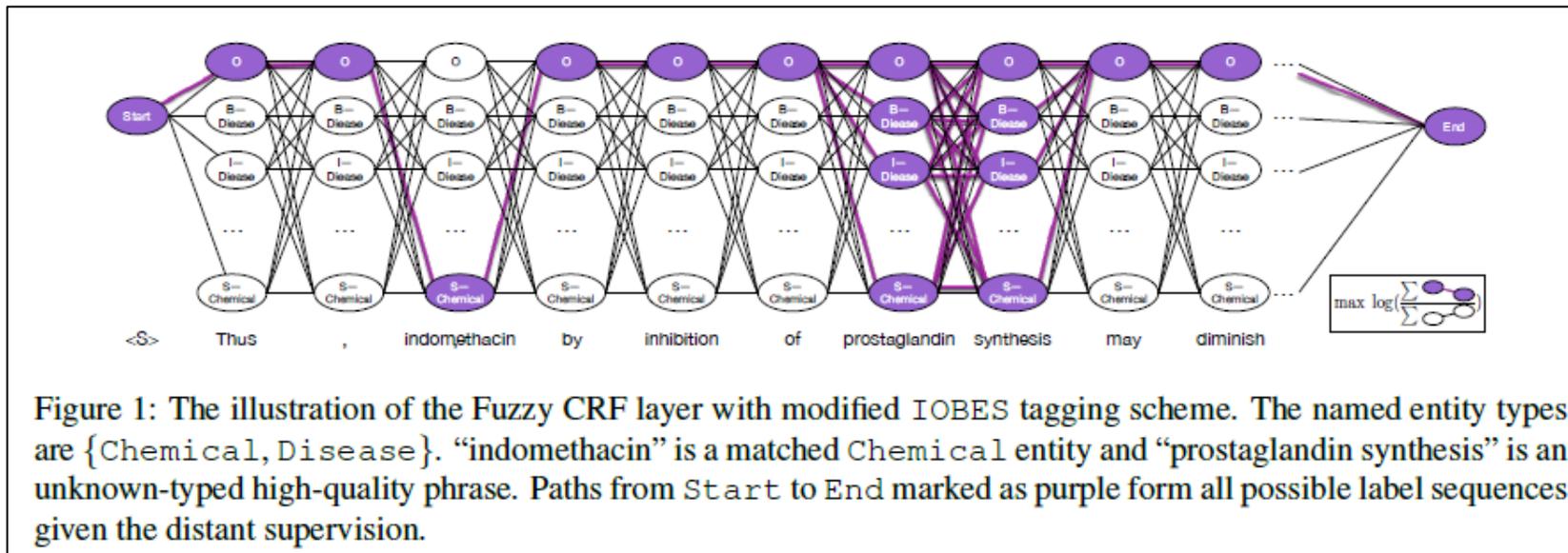
- Shang, Jingbo, et al. "Learning named entity tagger using domain-specific dictionary." arXiv preprint arXiv:1809.03599 (2018).
- 목적
  - ✓ Multi-labels 또는 unknown-type의 token 처리



# Fine-grained NER in Domain-specific Area

## ❖ Fuzzy-LSTM-CRF

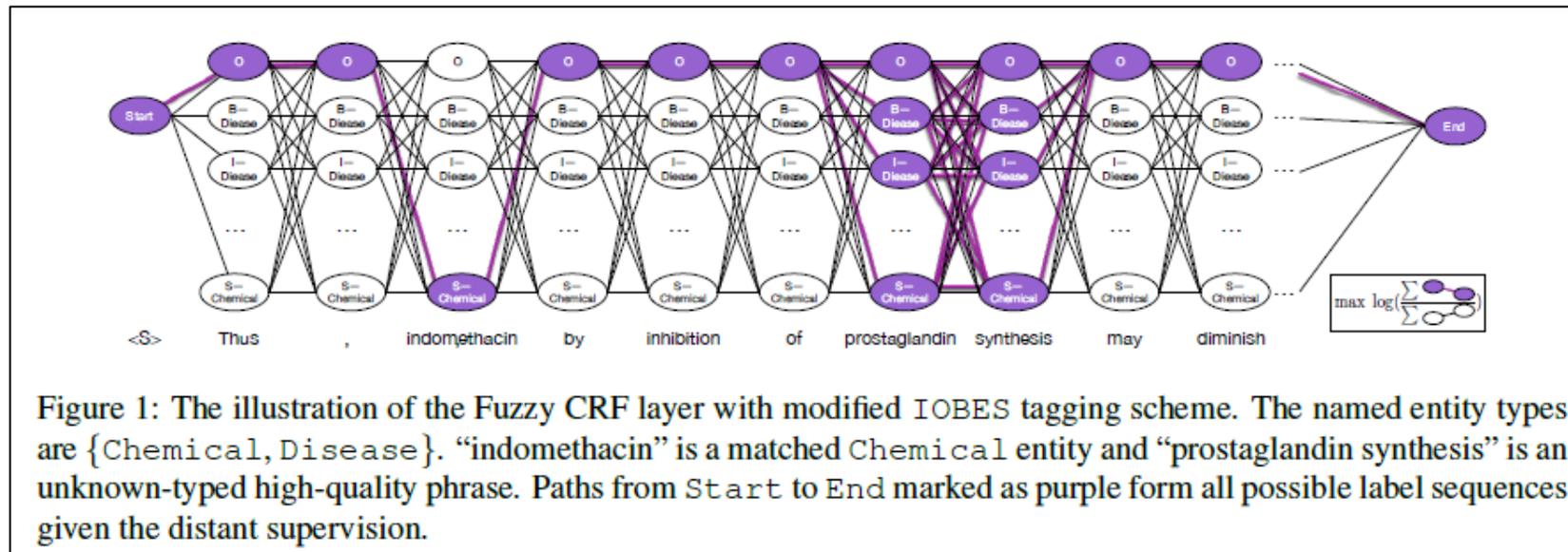
- BIOES Tag 수정
  - ✓ Token Type
    1. 일치하는 Entity Type 이 있는 경우
    2. Unknown Type의 Token → (Type의 개수 \* 4(BIES) + 1) 개의 label이 가능
    3. Non-entity



# Fine-grained NER in Domain-specific Area

## ❖ Fuzzy-LSTM-CRF

- BIOES Tag 수정
  - ✓ Example (Entity Type : {Chemical, Disease})
    - Unknown Type의 가능한 labeled: {O, B-Disease, I-Disease, E-Disease, S-Disease, B-Chemical, I-Chemical, E-Chemical, S-Chemical}
    - Non-entity : {O}



# Fine-grained NER in Domain-specific Area

## ❖ Fuzzy-LSTM-CRF

- Calculate
  - ✓ Word sequence:  $(X_1, X_2, \dots, X_n)$
  - ✓ The score of the predicted sequence  $(y_1, y_2, \dots, y_n)$

$$s(X, y) = \sum_{i=0}^n \Phi_{y_i, y_{i+1}} + \sum_{i=1}^n P_{i, y_i}$$

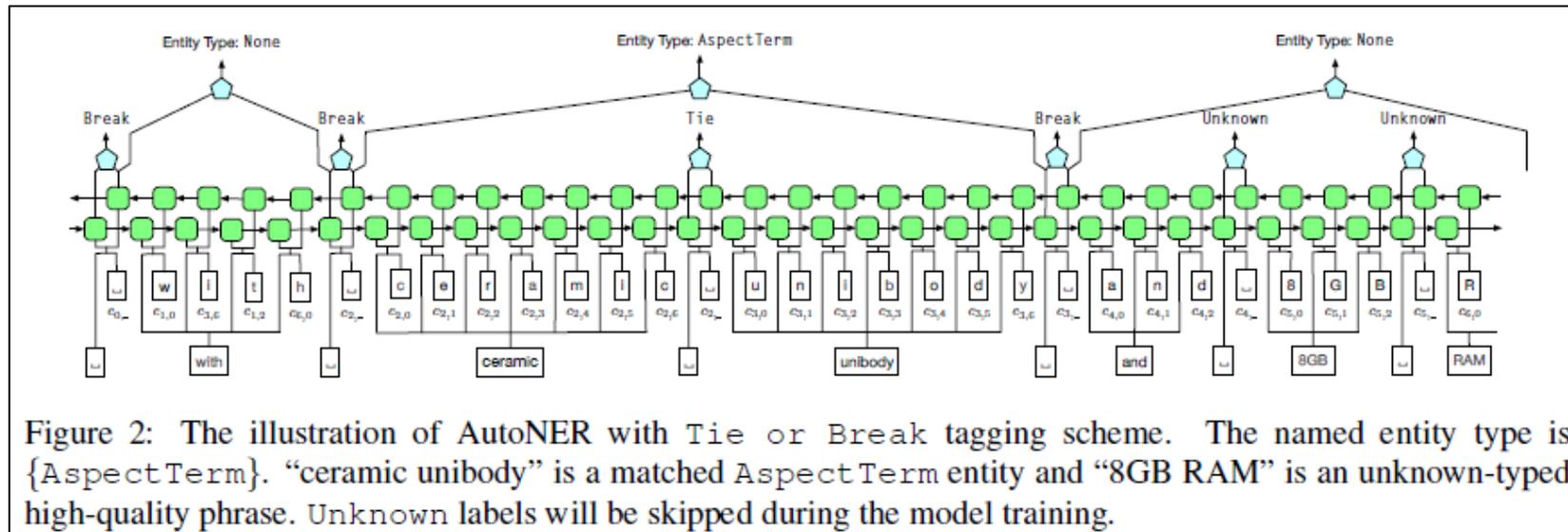
- ✓ Maximizes the total probability

$$p(y|X) = \frac{\sum_{\tilde{y} \in Y_{possible}} e^{s(X, \tilde{y})}}{\sum_{\tilde{y} \in Y_X} e^{s(X, \tilde{y})}}$$

# Fine-grained NER in Domain-specific Area

## ❖ AutoNER

- Shang, Jingbo, et al. "Learning named entity tagger using domain-specific dictionary." arXiv preprint arXiv:1809.03599 (2018).
- 목적
  - ✓ 인접한 token이 간의 관계 정의



# Fine-grained NER in Domain-specific Area

## ❖ AutoNER

- Tie or Break
  - ✓ Adjacent Token Type
    1. Tie: 두 Token이 동일한 Entity
    2. Unknown: Token 중 적어도 하나가 unknown-typed 속하는 경우
    3. Break

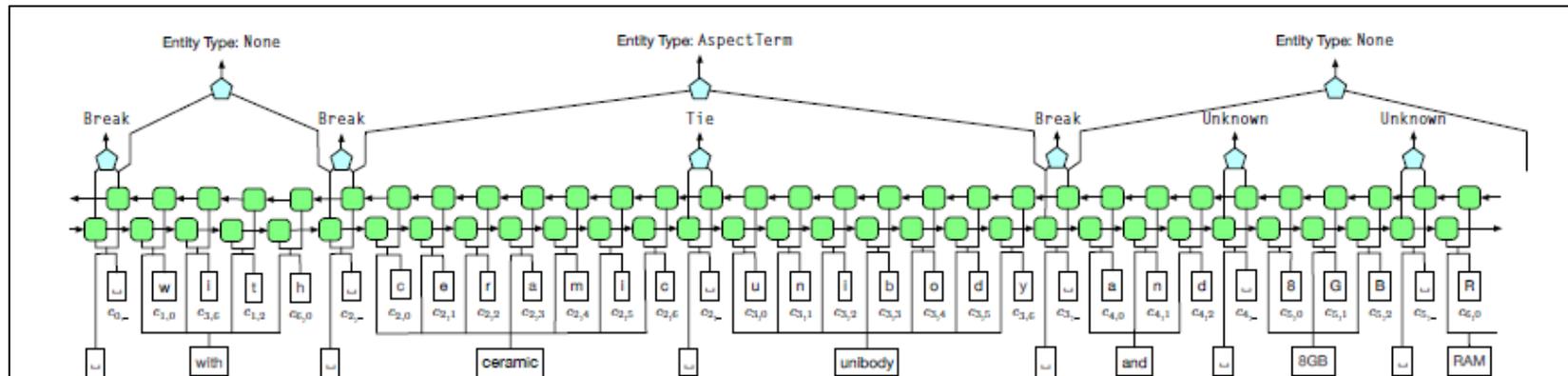
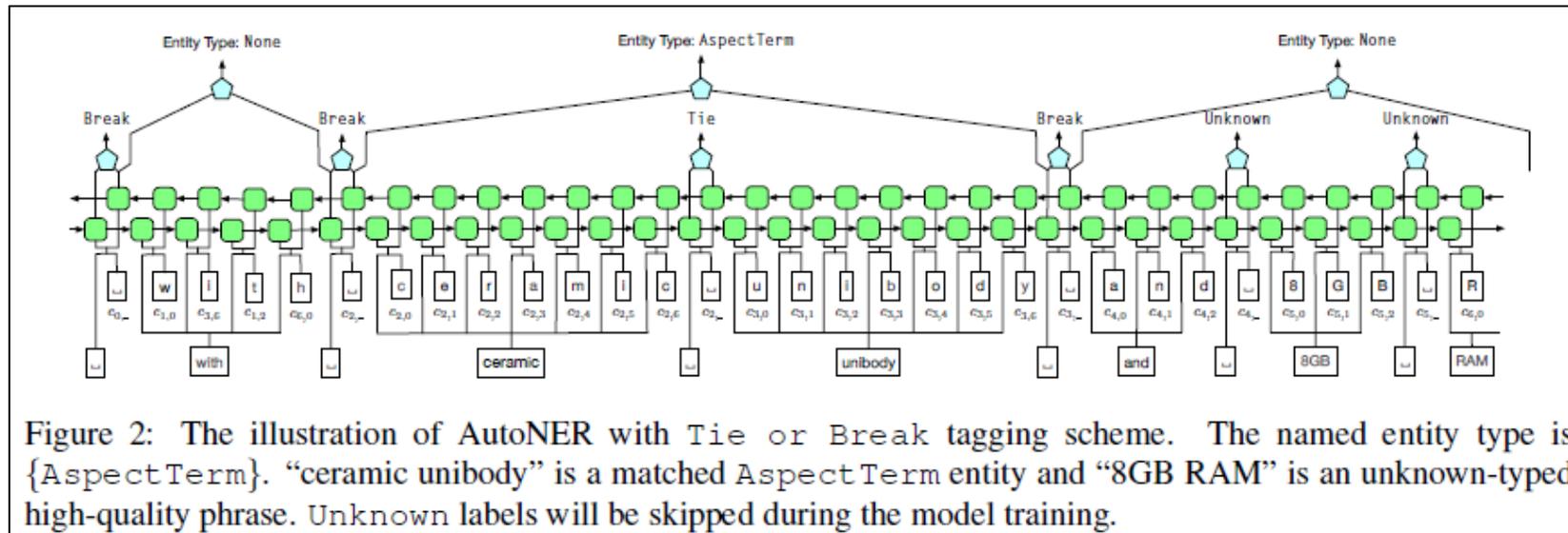


Figure 2: The illustration of AutoNER with Tie or Break tagging scheme. The named entity type is {AspectTerm}. "ceramic unibody" is a matched AspectTerm entity and "8GB RAM" is an unknown-typed high-quality phrase. Unknown labels will be skipped during the model training.

# Fine-grained NER in Domain-specific Area

## ❖ AutoNER

- Tie or Break
- ✓ Example
  1. Tie: ceramic unibody
  2. Unknown: 8GB RAM
  3. None



# Fine-grained NER in Domain-specific Area

Table 2: [Biomedical Domain] NER Performance Comparison. The supervised benchmarks on the BC5CDR and NCBI-Disease datasets are LM-LSTM-CRF and LSTM-CRF respectively (Wang et al., 2018). SwellShark has no annotated data, but for entity span extraction, it requires pre-trained POS taggers and extra human efforts of designing POS tag-based regular expressions and/or hand-tuning for special cases.

Method	Human Effort other than Dictionary	BC5CDR			NCBI-Disease		
		Pre	Rec	F1	Pre	Rec	F1
Supervised Benchmark	Gold Annotations	88.84	85.16	<b>86.96</b>	86.11	85.49	<b>85.80</b>
SwellShark	Regex Design + Special Case Tuning	86.11	82.39	84.21	81.6	80.1	<b>80.8</b>
	Regex Design	84.98	83.49	<b>84.23</b>	64.7	69.7	67.1
Dictionary Match	None	93.93	58.35	71.98	90.59	56.15	69.32
Fuzzy-LSTM-CRF		88.27	76.75	82.11	79.85	67.71	73.28
AutoNER		88.96	81.00	<b>84.8</b>	79.42	71.98	<b>75.52</b>

# Informal Text with Auxiliary Resource

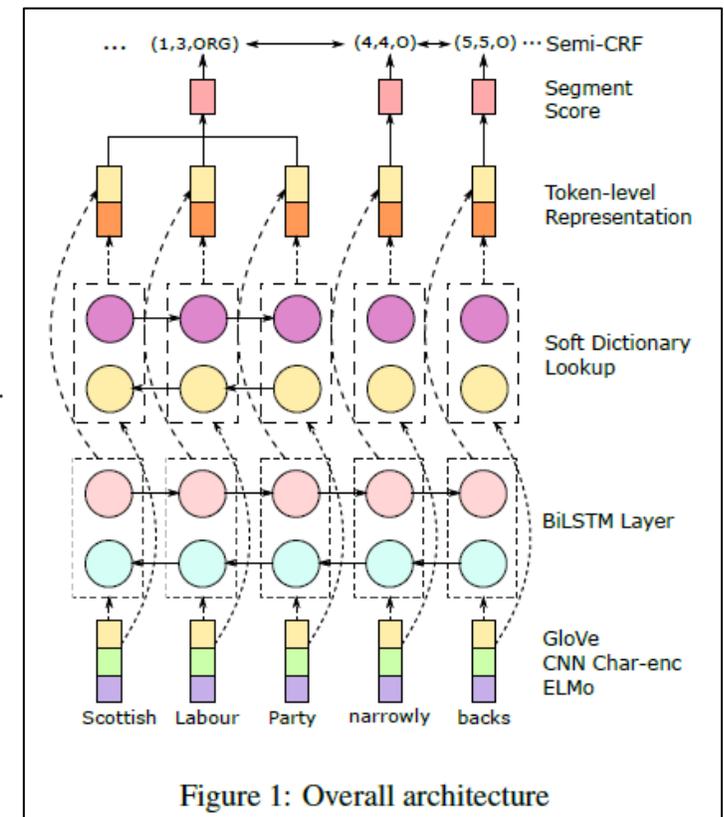
## ❖ Gazetteer-enhanced sub-tagger

- Liu, Tianyu, Jin-Ge Yao, and Chin-Yew Lin. "Towards improving neural named entity recognition with gazetteers." Proceedings of the 57th Annual Meeting of the Association for Computational Linguistics. 2019.

### • 특징

- ✓ Gazetteer를 별도의 모듈로 추가
- ✓ Hybrid semi-Markov CRF
  - Token level label을 사용하여 span level score를 구할 수 있음

- Gazetteer: 장소 이름과 정보를 제공하는 책. (지명사전)



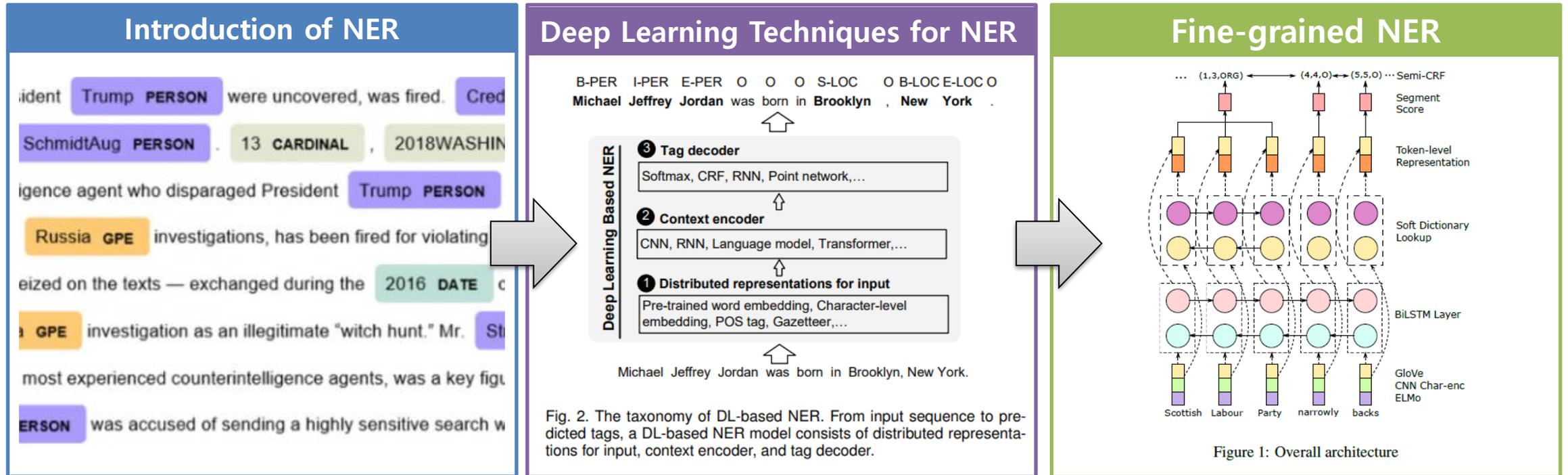
# Informal Text with Auxiliary Resource

## ❖ Hybrid semi-Markov CRFs

Model	Test Set F1-score( $\pm$ std)	
	CoNLL	OntoNotes
Ma and Hovy (2016)	91.21	-
Lample et al. (2016)	90.94	-
Liu et al. (2018)	91.24 $\pm$ 0.12	-
Devlin et al. (2018)	92.8	-
Chiu and Nichols (2016) <sup>3</sup>	91.62 $\pm$ 0.33	86.28 $\pm$ 0.26
Ghaddar and Langlais '18	91.73 $\pm$ 0.10	87.95 $\pm$ 0.13
Peters et al. (2018)	92.22 $\pm$ 0.10	89.04 $\pm$ 0.27
Clark et al. (2018)	92.6 $\pm$ 0.1	88.8 $\pm$ 0.1
Akbik et al. (2018)	93.09 $\pm$ 0.12	89.71
HSCRF	92.54 $\pm$ 0.11	89.38 $\pm$ 0.11
HSCRF + concat	92.52 $\pm$ 0.09	89.73 $\pm$ 0.19
HSCRF + gazemb	92.63 $\pm$ 0.08	89.77 $\pm$ 0.20
HSCRF + softdict	92.75 $\pm$ 0.18	89.94 $\pm$ 0.16

Table 1: Results on CoNLL 2003 and OntoNotes 5.0

# Conclusion



- 기존의 많은 연구는 coarse-grained NER에 초점
- 다양한 실제 단어 적용을 지원하기 위해 영역 별 fine-grained NER 필요

감사합니다

